



## Services to the Hedge Fund industry

### Vision

As regulatory oversight is now a reality, the focus of hedge fund managers remains on trading and growing their assets, with less attention to consolidating infrastructure, streamlining processes or providing transparency to investors, all equally important elements to the funds' long-term success. Concurrently, the shifting reality of the prime brokerage paradigm, the emergence of a dynamic value network of service providers (some of them entering the fund management business), and a strong institutional push on both the investor and the fund side, are all signs of a rapidly maturing industry.

### Proposition

StratConGlobal believes that a combination of best practice implementation as well as SEC readiness can take the business to the next level of success and institutionalization. Likewise, the redefinition of business models on the service provider side provides exciting opportunities to reposition industry players for the next phase of growth. Accordingly, StratConGlobal brings a cross-institutional perspective to our clients and offers two key services: one targeted at funds and the other one at service providers.

### StratConGlobal offerings

For funds, or funds of funds (FOF), with asset base typically in the \$100 to \$800mn. range:

Hedge Fund Structure & Organization	Marketing & Investor Relations
<ul style="list-style-type: none"> <li>▪ Governance changes/ SEC Readiness</li> <li>▪ Strategic business review</li> <li>▪ Organizational assessment</li> <li>▪ On and off-shore distribution vehicles</li> </ul>	<ul style="list-style-type: none"> <li>▪ Due diligence (FOF)</li> <li>▪ Strategic alliances</li> <li>▪ Disclosure / transparency</li> <li>▪ Compliance</li> </ul>

Portfolio Risk Management	Portfolio Administration & Operational Controls
<ul style="list-style-type: none"> <li>▪ Best practices, benchmarking</li> <li>▪ Business processes, methodologies</li> <li>▪ Systems/Third party provider assessment</li> </ul>	<ul style="list-style-type: none"> <li>▪ Business Process review</li> <li>▪ Operational efficiency and effectiveness</li> <li>▪ Operational Compliance</li> </ul>

For service providers (e.g. prime brokers, fund administrators):

Strategy	Operations
<ul style="list-style-type: none"> <li>▪ Strategic business review</li> <li>▪ Business model assessment</li> </ul>	<ul style="list-style-type: none"> <li>▪ Business Process review</li> <li>▪ On and off-shore Business Process Outsourcing</li> </ul>

### Selected credentials

- Conducted business model review and strategic assessment for hybrid play at the intersection of Prime Broker/FOF frontiers
- Led multiple on and off-shore set-up of alternative investment vehicles for global US universal bank
- Repackaged alternative investment products between institutional and high net worth client segments for bank-owned asset management business
- Developed "best practice" risk framework and assessed adequacy of risk methodologies for various industry players (including hedge funds)
- Conducted SEC readiness reviews and developed processes and procedures to support hedge fund operations and compliance
- Achieved significant expense reductions through process improvement and use of off-shore processing facilities for global US bank

### About us

StratConGlobal principals have an average of fifteen years experience in the investment management and financial services industry. Our combined expertise covers strategy, operations, business management, marketing, regulatory compliance, and technology. See website for more details.